# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application Number** 

10/534,055

Confirmation No.:

2702

**Applicant** 

George P. TEITELBAUM, et al.

Filed

March 25, 2003

Title

TRANSPEDICULAR INTERVERTEBRAL DISK ACCESS METHODS AND

**DEVICES** 

TC/Art Unit

Unassigned

Examiner:

Unassigned

Docket No.

64118.000192 (MED-032 US)

Customer No.

70813

MAIL STOP PETITION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# PETITION TO WITHDRAW HOLDING OF ABANDONMENT

Under the authority of 37 C.F.R. §1.181(A), and in accordance with MPEP §711.03(c)(I) applicants respectfully petition the U.S. Patent and Trademark Office (PTO) to withdraw the improper abandonment of the above-captioned application due to PTO's error.

The PTO mailed a Notice of Abandonment on May 31, 2007 to the undersigned's prior firm, Hunton & Williams. The undersigned did not receive the Notice of Abandonment until sometime in July, 2007. This petition therefore is timely filed.

The Notice of Abandonment states that the application became abandoned because applicant failed to file timely to the second 37 CFR 1.47(a) decision mailed 01 August 2006.

PATENT APP. No. 10/534,055 ATTORNEY DOCKET: 64118.000192 (MED-032 US)

Applicants timely responded, however, to the Decision on Renewed Petition Under 37 C.F.R. §1.47(a) received by Hunton and Williams LLC, on August 9, 2006 (no mailing date was on correspondence) by filing a Request for One-Month Extension of Time and Submission of Third Petition Under 37 C.F.R. §1.47(a) on October 11, 2006, via facsimile. Copies of the Notice of Abandonment, the complete filing on October 11, 2006, and the Acknowledgement of Receipt received from the United States Patent and Trademark Office, are enclosed for your convenience.

Applicants believe that no additional fees are due for filing this petition. In the event any additional fees are required, the Commissioner is authorized to charge all required fees, under 37 C.F.R. §1.17, or all required extension of time fees, to the undersigned's Deposit Account No. 07-1700.

Respectfully submitted,

Dated: 8/10/07

By:

Patrick A. Doody Registration No. 35,022

Tel No. (202) 346-4128 Fax No. (202) 346-4444

Goodwin Procter LLP 901 New York Avenue, NW Washington, DC 20001 Goodwin Customer No. 000070813



# United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Advers COMMISSIONER FOR PATENTS FO. Box 1490 Alexandra, Vignas 2233-1450

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/534,055

George P Teitelbaum

64118.000192

INTERNATIONAL APPLICATION NO.

PCT/US03/09285

I.A. FILING DATE

PRIORITY DATE

03/25/2003

**CONFIRMATION NO. 2702** 

371
ABANDONMENT/TERMINATION
LETTER

\*OC000000024167034\*

21967 HUNTON & WILLIAMS LLP INTELLECTUAL PROPERTY DEPARTMENT 1900 K STREET, N.W. SUITE 1200 WASHINGTON, DC 20006-1109

DOCKETE

Date Mailed: 05/31/2007

#### NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

Applicant has failed to file timely to the second 37 CFR 1.47(a) decision mailed 01 August 2006.

64118.192

- 30

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 3 - OFFICE COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

O:Auto-reply fax to 4048884190 COMPANY:

# **Auto-Reply Facsimile Transmission**



TO:

Fax Sender at 4048884190

Fax Information

Date Received:

10/11/2006 10:05:20 AM [Eastern Daylight Time]

Total Pages: 7 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facs/mile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facs/mile transmission is necessary. Applicants are also advised to use the certificate of facs/mile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

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	PLOCR:	59º Floor			
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#### CONFIRMATION REPORT - MEMORY SEND

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Name

: HUNTON & WILLIAMS

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Date

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NAME

MAIL STOP PCT LEGAL ADMINISTRATION James Thomson U.S. Patent and Trademark Office 571-273-8300

FIRM FAX NO.:

PHONE NO .:

7

PAGES (INCLUDING COVER): ORIGINAL TO FOLLOW IN MAIL:

⊠ Yes □ No

FROM

NAME

PLOOR: DIRECT DIAL:

Robert A. King 39th Floor 404-888-4136

MESSAGE

In re Application Of: TEITELBAUM, et al. Examiner: Unassigned Application No.: 10/534,055 Art Unit: Unassigned Unassigned Unassigned Docket No.: 64118.000192
Title: TRANSPEDICULAR INTERVERTEBRAL DISK ACCESS METHODS AND DEVICES

In accordance with 37 C.F.R. §§ 1.97 and 1.98, I hereby certify that the attached correspondence entitled REQUEST FOR ONE-MONTH EXTENSTION OF TIME AND SUBMISSION OF THIRD PETITION UNDER 37 CFR § 1.47(a) is being facsimile transmitted to the United States Patent and Trademark Office on Monday. October 2. 2006.

Signature:

Typed or Printed Name of Person Signing Certificate:
Registration No., if applicable: 42,738

ROBERT A. KING

IF PROBLEM WITH TRANSMESSION, PLEASE CONTACT STEFANIS NUTTING AT 404 • 888 • 4027

OPERATOR

DATE:

October 11, 2006

CLIENT/MATTER NAME: CLIENT/MATTER NO.:

64118.000192

This communication is confidential and is intended to be privileged pursuant to the attempy-client privilege and the work-product doctrins. If the reader of this message is not the intended recipient, or the employee of agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service.



600 PEACHTREE STREET, NE SUITE 4100 ATLANTA, GA 30308

FAX

404 • 888 • 4000 404 • 888 • 4191

TO

NAME

MAIL STOP PCT LEGAL ADMINISTRATION

James Thomson

571-273-8300

FIRM

U.S. Patent and Trademark Office

FAX NO .:

PHONE NO .:

PAGES (INCLUDING COVER):

7

**ORIGINAL TO FOLLOW IN MAIL:** 

☑ Yes ☐ No

**FROM** 

NAME:

Robert A. King

FLOOR:

39th Floor

DIRECT DIAL:

404-888-4136

**MESSAGE** 

In re Application Of:

TEITELBAUM, et al.

Examiner: Unassigned

Application No.: 10/534,055

Art Unit: Unassigned

Filed:

May 6, 2005

Docket No.: 64118.000192

Title: TRANSPEDICULAR INTERVERTEBRAL DISK ACCESS METHODS AND

**DEVICES** 

In accordance with 37 C.F.R. §§ 1.97 and 1.98, I hereby certify that the attached correspondence entitled REQUEST FOR ONE-MONTH EXTENSION OF TIME AND SUBMISSION OF THIRD PETITION UNDER 37 CFR § 1.47(a) is being facsimile transmitted to the United States Patent and Trademark Office on Monday, October 2, 2006.

Signature:

Typed or Printed Name of Person Signing Certificate:

**ROBERT A. KING** 

Registration No., if applicable: 42,738

IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT STEFANIE NUTTING AT 404 • 888 • 4027

**OPERATOR** 

DATE:

October 11, 2006

TIME:

**CLIENT/MATTER NAME:** 

**CLIENT/MATTER NO.:** 

64118.000192

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Attorney Docket No.: 64118.000192

#### DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### TRANSPEDICULAR INTERVERTEBRAL DISK ACCESS METHODS AND DEVICES

the specification of which:	was filed on: May 6, 2005 as United States Application No.:	10/534,055
	or PCT International Application No.: and was amended on:	(if applicable).
	have reviewed and understand the cor claims, as amended by any amendr	
l acknowledge the defined in 37 C.F.R. § 1.56	duty to disclose information which is	material to patentability as
	Prior Foreign Application(s)	

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Application Number	Country	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority	Claimed
PCT/US03/09285	PCT	25, March, 2003		Yes 🔀	No 🗌
				Yes 🗌	No 🗌
				Yes 🗌	No 🗌

# **Prior Provisional Application(s)**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year) 8, November, 2002		
60/424,942			

## **Prior United States Application(s)**

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

And I hereby appoint, both jointly and severally, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the Hunton & Williams LLP attorneys and agents associated with:

CUSTOMER NUMBER 21967

All correspondence and telephone communications should be addressed to:

Customer Number 21967

corresponding to the law firm of Hunton & Williams LLP; Intellectual Property Department; 1900 K Street, N.W.; Suite 1200; Washington, DC 20006-1109; telephone number (202) 955-1500; facsimile number (202) 778-2201.

HUNTON & WILLIAMS LLP
Intellectual Property Department
1900 K Street, N.W.
Suite 1200
Washington, DC 2008-1109
Washington, DC 2008-1109
202) 955-1500 (telephone); [202) 778-2201 (facsimile)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NAME OF SOLE INVENTOR:	ORF	IRST	∐ A	petition has been filed	for this unsigned inventor
Signature				Date	
Given Name (first and middle (if	any))	George P.		Family Name or Surname	TEITELBAUM
Citizenship:	US		·		
Residence:		ta Monica, CA			·
Mailing Address:	325	16 <sup>th</sup> Street, Sant	a Monica, C	A 90402-2217	
NAME OF SECO	ND IN	VENTOR:	□ A	petition has been filed	for this unsigned inventor
Signature				Date	
Given Name (first and middle (if	any))	Samuel M.		Family Name or Surname	SHAOLIAN
Citizenship:	บร				
Residence:		port Beach, CA		•	•
Mailing Address:	2315	Arbutus Street,	Newport Be	each, CA 92660	
NAME OF THIRE	INVE	NTOR:	□ A	petition has been filed	for this unsigned inventor
Signature				Date	
Given Name (first and middle (if	any))	Thanh Van		Family Name or Sumame	NGUYEN
Citizenship:	US	•			•
Residence:	Irvine	e, CA			
Mailing Address:	4752	Roxbury Drive,	Irvine, CA	92604	
NAME OF FOUR	тн ілу	ENTOR:	□ A	petition has been filed	for this unsigned inventor
Signature 1	ent	2 hom	~~~	Date	Oct na 2005
Given Name (first and middle (if	апу))	Frank		Family Name or Surname	NGUYEN
Citizenship:	US			•	•
Residence:	- <del>S</del> ыре	rior <del>, CO</del> DAC	ond, c	O	
Mailing Address:			,	Superior, CO 8002	PN w/on/
		39 w1106	•	PACONO, CO	80514

MANUE OF FIFT	i iitveiti Oft.	☐ × beggott tras peat it	led for this drisighed inventor
Signature		Dat	ee
Given Name (first and middle (i	rany)) To V.	Family Name or Surname	PHAM
Citizenship:	US	•	·
Residence:	Trubuco Canyon, CA	4	
Mailing Address:	28422 Pueblo Drive,	Trubuco Canyon, CA 92679	
NAME OF SIXT	HINVENTOR:	A petition has been fi	led for this unsigned inventor
Signature		Dat	е
Given Name (first and middle (if	any)) Vincent	Family Name or Sumame	DIVINO, Jr.
Citizenship:	US		
Residence:	Mission Viejo, CA		
Mailing Address:	24436 Muela, Missio	n Viejo, CA 92692	
NAME OF SEVE	NTH INVENTOR:	A petition has been fi	led for this unsigned inventor
Signature	•	Dat	е
Given Name (first and middle (if	any)) Michael R.	Family Name or Surname	HENSON
Citizenship:	US		
Residence:	Coto de Casa, CA		
Mailing Address:	2 Via Presea, Coto d	e Casa, CA 92679	

HUNTON & WILLIAMS LLP Intellectual Property Department 1900 K Street, N.W. Suite 1200 Washington, DC 20008-1109 (202) 955-1500 (telephone); (202) 778-2201 (tecsimile)

PATENT Atty. Dkt. No. 64118.000192 Application Serial No. 10/534,055 Customer No. 21967

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	pplication of:	)
TEITE	LBAUM et al.	) Group Art Unit: Unassigned
Applica	ation Number: 10/534,055	) Examiner: Unassigned
Filed:	May 6, 2005	)
For:	TRANSPEDICULAR INTERV METHODS AND DEVICES	ERTEBRAL DISK ACCESS

# REQUEST FOR ONE-MONTH EXTENSION OF TIME AND SUBMISSION OF THIRD PETITION UNDER 37 C.F.R. § 1.47(a)

#### MAIL STOP PCT LEGAL ADMINISTRATION

Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

#### REQUEST FOR ONE-MONTH EXTENSION OF TIME

Applicants respectfully request a one-month extension of time under 37 C.F.R. § 1.136(a) for responding to the Office Action mailed on August 1, 2006. Accordingly, the time for response will be extended up to and including November 1, 2006. Please charge Deposit Account No. 50-0206 \$250, part of which covers the one-month extension of time fee of \$120.

#### SUBMISSION OF THIRD PETITION UNDER 37 C.F.R. § 1.47(a)

Applicants submit concurrently herewith a Third Petition Under 37 C.F.R. § 1.47(a) petitioning the Commissioner to accept its application Serial No. 10/534,055. Joint inventors George P. Teitelbaum, Samuel M. Shaolian, Thanh V. Nguyen, To V. Pham, Vincent Divino, Jr., Michael R. Henson, and Frank Nguyen have previously filed a Declaration. Attached is a signed Declaration by Frank Nguyen.

#### **FEES**

Please charge Deposit Account No. 50-0206 for the following fees:

One-Month Extension of Time

\$120.00

Late Declaration Surcharge

\$130.00

TOTAL AMOUNT ENCLOSED

\$250.00

In the event any variance exists between the amount enclosed and the amount determined by the USPTO to enter this Response or maintain the present application pending, please charge or credit such variance to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

**HUNTON & WILLIAMS LLP** 

Date: October 11, 2006

By:

Robert A. King

Registration No. 42,738

HUNTON & WILLIAMS LLP 1900 K Street, N.W., Suite 1200 Washington, D.C. 20006-1109 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)